

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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Commodity Futures Trading Commission,

Plaintiff(s),

-v-

Christophe Rivoire,

Defendant(s).

19 CV 11701(JPO)

CIVIL CASE
MANAGEMENT PLAN
AND SCHEDULING
ORDER

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This Civil Case Management Plan (the "Plan") is submitted by the parties in accordance with Fed. R. Civ. P. 26(f)(3).

1. All parties [consent _____ / do not consent x] to conducting all further proceedings before a United States Magistrate Judge, including motions and trial. 28 U.S.C. § 636(c). The parties are free to withhold consent without adverse substantive consequences. [If all parties consent, the remaining paragraphs need not be completed.]
2. Settlement discussions [have x / have not _____] taken place.
3. The parties [have x / have not _____] conferred pursuant to Fed. R. Civ. P. 26(f).
4. Amended pleadings may not be filed and additional parties may not be joined except with leave of the Court. Any motion to amend or to join additional parties shall be filed within 30 days from the date of this Order. [Absent exceptional circumstances, thirty (30) days.]
5. Initial disclosures pursuant to Fed. R. Civ. P. 26(a)(1) shall be completed no later than 14 days from the date of this Order. [Absent exceptional circumstances, fourteen (14) days.]
6. Fact Discovery
 - a. All fact discovery shall be completed no later than 15 months from the date of this Order. [A period not to exceed 120 days, unless the Court finds that the case presents unique complexities or other exceptional circumstances.]
 - b. Initial requests for production of documents shall be served by 14 days from the date of this Order.
 - c. Interrogatories shall be served by 30 days before close of fact discovery.

- d. Depositions shall be completed by 14 months from the date of this Order.
- e. Requests to admit shall be served by 14 days before close of fact discovery.
- f. Any of the deadlines in paragraphs 6(b) through 6(e) may be extended by the written consent of all parties without application to the Court, provided that all fact discovery is completed by the date set forth in paragraph 6(a).

7. Expert Discovery

- a. All expert discovery, including expert depositions, shall be completed no later than 3 months after close of fact discovery. [Absent exceptional circumstances, a date forty-five (45) days from the date in paragraph 6(a) (*i.e.*, the completion of all fact discovery).]
- b. Plaintiff's expert disclosures pursuant to Fed. R. Civ. P. 26(a)(2) shall be made on or before 3 weeks after close of fact discovery.
- c. Defendant's expert disclosures pursuant to Fed. R. Civ. P. 26(a)(2) shall be made on or before 5 weeks after close of fact discovery.
- d. The interim deadlines in paragraphs 7(b) and 7(c) may be extended by the written consent of all parties without application to the Court, provided that expert discovery is completed by the date set forth in paragraph 7(a).

8. All motions and applications shall be governed by the Court's Individual Practices.

9. All counsel must meet in person to discuss settlement within fourteen (14) days following the close of fact discovery.

10. a. Counsel for the parties have discussed an informal exchange of information in aid of early settlement of this case and have agreed upon the following:

Counsel for the parties have discussed whether, at this stage, an informal exchange of information would aid an early settlement, but have determined that it would not. The parties note that they did informally exchange information pre-filing through a series of meetings and written submissions.

- b. Counsel for the parties have discussed the use of the following alternate dispute resolution mechanisms for use in this case: (i) a settlement conference before a Magistrate Judge; (ii) participation in the District's Mediation Program; and/or (iii) retention of a privately retained mediator. Counsel for the parties propose the following alternate dispute resolution mechanism for this case:

When an appropriate point in litigation is reached, the parties believe that either a settlement conference before a Magistrate Judge or participation in the District's Mediation Program could be fruitful.

- c. Counsel for the parties recommend that the alternate dispute resolution mechanism designated in paragraph 10(b) be employed at the following point in the case (*e.g.*, within the next sixty days; after the deposition of plaintiff is completed (specify date); after the close of fact discovery):

After the close of fact discovery.

- d. The use of any alternative dispute resolution mechanism does not stay or modify any date in this Order.
11. Unless otherwise ordered by the Court, any summary judgment motion shall be filed within fourteen (14) days of the close of all discovery. The parties shall submit a Joint Pretrial Order prepared in accordance with Fed. R. Civ. P. 26(a)(3) and the Court's Individual Practices within thirty (30) days of a decision on such motion. If no motion for summary judgment is filed, the parties shall file the Joint Pretrial Order within thirty (30) days of the close of discovery. Any motions in limine shall be filed on or before the date on which the Joint Pretrial Order is due. If this action is to be tried before a jury, proposed voir dire, jury instructions, and verdict form shall also be filed on or before the Joint Pretrial Order due date. Counsel are required to meet and confer on a joint submission of proposed jury instructions and verdict form, noting any points of disagreement in the joint submission. Jury instructions may not be submitted after the Joint Pretrial Order due date, unless they meet the standard of Fed. R. Civ. P. 51(a)(2)(A). If this action is to be tried to the Court, proposed findings of fact and conclusions of law should be submitted on or before the Joint Pretrial Order due date.
12. The parties shall be ready for trial on two weeks following FPO due date. [Absent exceptional circumstances, a date within two weeks following the Final Pretrial Order due date.]
13. This case [is x / is not] to be tried to a jury.
14. Counsel for the parties have conferred and their present best estimate of the length of trial is 4 weeks.

15. Other issues to be addressed at the Initial Pretrial Conference, including those set forth in Fed. R. Civ. P. 26(f)(3), are set forth below.

The parties respectfully submit the attached supplemental Case Management Report, which describes the parties' joint requests that (1) the Court enter the attached Proposed Protective Order and (2) the Court grant permission for each party to take up to 15 depositions during discovery.

Counsel for the Parties:

Gabriella Geanuleas, Candice Aloisi, Stephen Painter

James J. Benjamin Jr., Parvin D. Moyne, Katherine L.

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Counsel for Plaintiff

Counsel for Defendant

Each party may take up to 15 depositions. Counsel for the parties are directed to submit a joint status letter on or before January 15, 2021.

This Order may not be modified or the dates herein extended, except by further Order of this Court for good cause shown. Any application to modify or extend the dates herein (except as provided in paragraph 6(f) and 7(d)) shall be made in a written application in accordance with Court's Individual Practices and shall be made no less than two (2) business days prior to the expiration of the date sought to be extended.

SO ORDERED.



J. PAUL OETKEN
United States District Judge

Dated: July 30, 2020

New York, New York